#### KAZAKH NATIONAL UNIVERSITY named after al-FARABI

**Faculty of Law**

**Department of Customs, Financial and Environmental Law**

**FINAL EXAM PROGRAM**

**FPRK 2225, FPRK 3417. FPRK 2417 - Financial Law**

Specialty "5В030100 - Jurisprudence"

Number of credits - 3

#### Almaty 2020

The final exam program was drawn up by the deputy docent A.A. Tasbulatova

Based on the working curriculum for the specialty "Jurisprudence"

Reviewed and recommended at the meeting of the Department of Customs, Financial and Environmental Law

from " " 2020, protocol No. ... Head. chair Zhatkanbaeva A.E. (signature)

**Introduction**

The final exam in the discipline "Financial law" for bachelors of the English Department will be held in Zoom in oral form.

Description of the forms of final control (exam).

Oral examination: the traditional answers to the questions.

Oral exam-the student contacts the teacher or representatives of the exam Board via an online webinar platform according to the exam schedule. The video recording of the exam is saved for 3 months from the end of the session. The exam format is synchronous.

The process of passing an oral exam by a student involves the automatic creation of an examination ticket, which the student must answer orally to the examination Board. When conducting an oral exam, video recording is required. The oral exam is conducted on the ZOOM platform.

Duration:

Preparation time is decided by the examiner or the exam Board.

Response time is decided by the examiner or the exam Board. It is recommended that 15-20 on the answer to all ticket questions. Exam schedule the exam is conducted according to a schedule.

The exam format is synchronous. Synchronous format – the student takes the exam in real time "here and now".

The duration of the exam is from 60 minutes to several weeks, depending on the form.

Students: before starting the oral exam, they should check:

• Internet connection on your work device (computer, monoblock, laptop, tablet), the device must be charged during the entire time of the exam;

\* the web camera and microphone are working properly.

30 minutes before the start of the exam, all students of the group enter the video conference hall organized by the teacher or members of the Commission using the link specified in the rules of the final exam (sent by the teacher/members of the Commission in case of disruption of the video service).

30 minutes before the start of the exam, the ability to log in to the Univer.kaznu system is checked.kz via any browser, but preferably via Google Chrome (if you lose your username and/or password, the student must contact the curator-adviser before the exam starts).

After verification, they log out of the account while waiting for the Commission invitation. The student is not allowed to open a ticket before the individual invitation by the Commission for the exam. Only at the request of the Commission, the student logs in to the univer account and opens their ticket under the video recording. When the exam starts, the student who is called by the Commission shows his / her identity card to the camera. Enables screen demonstration. Log in to your Univer account, go to the "exam Schedule" page, select the current exam by clicking on the "Pass oral exam"button.

• The "Take oral exam" function is only active after the exam time starts;

• The "Pass oral exam" function is only active for students who have incomplete final statements (exam, retake, Incomplete).

After clicking on the link " Pass the oral exam”, a window will open where the student will see the questions on their exam ticket. The student shows the screen with the ticket questions and reads them out loud. Translates the display of the VCS service to the camera and prepares for the response. Upon completion of his answer, he leaves the video conference room.

If ZOOM is used for technical reasons, the examiner must divide the exam into periods of 30 to 40 minutes for reconnection. The student must complete the exam in time for one session. It is forbidden to start responding in one session and end after reconnecting. If a student who has already opened their ticket is absent from the exam online for more than 10 minutes due to technical reasons (power outage, power outage, or low Internet speed), their answer is canceled. The exam is rescheduled for another date in consultation with the Department of academic Affairs. Video recording is turned off only at the end of the exam, when all the examinees ' answers are accepted.

Based on the results of the exam:

1. the Examination Board and the teacher certifies the exam participants.

2. Set points in the final statement in the UNIVER is. The time for putting points in the certification sheet for the oral exam is 48 hours.

Evaluation policy:

Criteria-based assessment: evaluation of learning outcomes in accordance with descriptors, checking the formation of competencies (learning outcomes) at intermediate control and exams.

Summative assessment: assessment of activity and participation in work in the audience; task completion, SRS assessment.

rating policy:

|  |  |  |  |
| --- | --- | --- | --- |
| Rating by letter system | digital equivalent | Points (%- s ' rate) | Assessment using the traditional system |
| A | 4 | 95-100 | Great |
| A- | 3,67 | 94-90 |
| B+ | 3,33 | 85-89 | Well |
| B | 3,0 | 80-84 |
| B- | 2,67 | 75-79 |
| C+ | 2,33 | 70-74 | Satisfactorily |
| C | 2,0 | 65-69 |
| C- | 1,67 | 60-64 |
| D+ | 1,33 | 55-59 |
| D- | 1,0 | 50-54 |
| FX | 0,5 | 25-49 | unsatisfactorily |
| F | 0 | 0-24 |

**Final Exam Program**

Topic 1: Subject and system of financial law. Place of financial law in the legal system of the Republic of Kazakhstan.

The concept of financial law, Finance as a subject of financial law, approaches to understanding the term "Finance". The system of financial law, its structure. The place and role of financial law in the modern system of domestic and international law.

Topic 2: Financial and legal relations, rules of financial law. Sources of financial law.

The concept and classification of financial and legal relations. The concept and classification of financial and legal norms, the grounds for their occurrence, modification and termination. System of sources of financial law of the Republic of Kazakhstan.

Topic 3: Finance and the financial system of the Republic of Kazakhstan.

Concept and classification of global financial systems. Specifics of the financial system of Kazakhstan and the structure of the financial system of Kazakhstan. Features of legal regulation of the organization and functioning of the financial system of Kazakhstan.

Topic 4: Financial activities of the state.

The concept of financial activity of the state. Principles and methods of financial activity of the Republic of Kazakhstan. Features of the legal framework of financial activity in the Republic of Kazakhstan.

Topic 5: Legal regulation of financial control in the Republic of Kazakhstan.

The concept and types of financial control and financial audit. Legal basis for the organization of financial control and audit. Procedural aspects of financial control and audit.

Topic 6: Budget law of the Republic of Kazakhstan

The definition of the budget law, to disclose the subject matter and the sources of budget law. Budget relations and their types. Determine the principles of the legal status of citizens, reveal their content. Determination of the budget and other funds of the Republic of Kazakhstan, to reveal their types.

Topic 7: the Budget process. Legal status of participants in the budget process.

Concept and structure of the budget process. Procedures for the adoption and execution of the national and local budgets. Features of reporting for budget execution.

Topic 8: Theory of tax law of the Republic of Kazakhstan.

The concept of tax law. Specifics of tax-legal relations of tax-legal norms. Classification of taxes stipulated in the Tax code of the Republic of Kazakhstan.

Topic 9: taxation of individuals, entrepreneurs and legal entities.

Classification of taxes paid by individuals, entrepreneurs, and legal entities. Features of execution of tax obligations of individuals and legal entities. Procedure for applying the special tax regime

Topic 10: banking law of the Republic of Kazakhstan. Banking system.

Give the concept of banking law, reveal the subject, sources and system of banking law. To reveal the concept and structure of the banking system of the Republic of Kazakhstan. Disclose the legal status of the National Bank and its powers.

Topic 11: Insurance law of the Republic of Kazakhstan.

The concept of insurance law, to reveal the subject, relations and rules of insurance law. Legal regulation of insurance activities. Specifics of insurance, compulsory and voluntary insurance in the Republic of Kazakhstan.

Topic 12: Currency law of the Republic of Kazakhstan.

The concept of currency law, give the concept of currency relations. Legal regulation of currency turnover. Features of legal regulation of currency control and supervision.

Topic 13: Investment law of the Republic.

The concept of investment law. Features of investment relations. Concept and other types of investment. Legal regulation of the investment policy of the Republic of Kazakhstan.

Topic 14: Liability for violations in the field of financial law.

The concept of legal liability for financial offenses. The specifics of the criminal responsibility for financial violations. Features of administrative responsibility for financial offenses.

Topic 15: Modern financial policy of the Republic of Kazakhstan.

The concept of financial policy. Main directions of the financial policy of the Republic of Kazakhstan. The main directions of development of the banking system and the securities market and the development of investment policy.

**Literature:**

1. Law of the Republic of Kazakhstan dated March 30, 1995 No. 2155 "On the National Bank of the Republic of Kazakhstan" (with amendments and additions as of 01.01.
2. Law of the Republic of Kazakhstan dated August 31, 1995 No. 2444 "On banks and banking activities in the Republic of Kazakhstan" (with amendments and additions as of 03.07.2020)
3. Code of the Republic of Kazakhstan dated December 25, 2017 No. 120-VI "On taxes and other obligatory payments to the budget (Tax Code)" (as amended and supplemented as of 02.07.2020)
4. Budget Code of the Republic of Kazakhstan dated December 4, 2008 No. 95-IV (with amendments and additions as of July 19, 2020)
5. Kapsalyamova S.S. Financial law of the Republic of Kazakhstan: Special part: Textbook. allowance. - Almaty: TechnoErudit, 2020 .-- 321 p.
6. Financial law of the Republic of Kazakhstan: Textbook. allowance / N. B. Mukhitdinov, G. A. Kuanalieva, A. E. Zhatkanbaeva (ed.) and others - Almaty: Kazakh un-ti, 2018 .-269 p.

**Internet resurses:**

1. Educational material: abstracts of lectures, video lectures, guidelines for preparing for seminars, CDS, etc., available on the website www.univer.kaznu.kz in the UMKD section.
2. Normative legal acts in accordance with the subjects of the discipline, available in the legal database "Zakon".